Numerical Modelling of Stress-Strain Analysis in Underground Thick Coal Mining

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Abstract:- Thick coal mine operations have various methods. If the thick coal seam cannot be mined by single pass longwall (SPL), then Longwall top coal caving (LTCC) method or multi slice longwall (MSL) can be employed. In Turkey, caving methods are commonly used in mining of thick coal seams as long as the roof strata are suitable for their use. Longwall with caving is always preferred to filling methods because of its simplicity, favorable economics. In the literature, underground production methods are studied oftenly on the contrary rock mechanics and roof conditions. Rock mechanics and roof strata conditions examining with Finite Element Methods (FEM) are very important in terms of efficiency and continuous production. In this study, FEM analysis are made for thick coal mining methods. Numerical modelling from the field for laboratory tests on samples obtained after the Mohr-Coulomb and/or Hoek-Brown rock failure criteria determining the rock mass properties modeling has been performed. Similar strain values are computed in front of the face in all three methods considered. However, when stress-strain values on the gob area are measured, stress-strain distribution in lower face method is found to be more stabilized and proper.

Keywords: Thick coal mining, longwall, numerical modelling, face, gob.

I. INTRODUCTION

In many of the countries on the world, lignite coal is still one of the major energy resources. World lignite coal production is 905 Mt in 2012 according to the International Energy Agency (IEA, 2013c). In addition, lignite coal production of Turkey is 68.1 Mt in the same year. 33.3 Mt of this production is mined by Turkish Coal Institution (TKI), 28.5 Mt is mined by EUAS (Electricity Generation Company) and the rest by private companies. Total lignite reserves of Turkey amount to approximately 14 Gt in recent years which constitutes 1.52 % of total world reserves. In Turkey, caving methods are mostly employed in mining of thick coal seams as long as the roof strata are suitable for their use. Longwall with caving is always preferred to stowing faces because of its simplicity, favorable economics, and high productivity. It is assumed that the upper bound of applying SPL method as a mechanized system in thick coal seams is about 6m. If the thick coal seam cannot be mined by SPL method, then MSL method can be employed (Peng and Chiang, 1984; Singh and Singh, 1999; Hartman and Mutmansky, 2002; Hebblewhite and Cai, 2004; Simsir and Ozfirat, 2008). LTCC and MSL methods are given in Figure 1.

In this study, stress analysis is investigated for production methods of thick coal seams using Phase 2D which is a two-dimensional stress analysis software. The production method used in the current system, which is LTCC from bottom face method, is modelled and the stress values are found. Then alternative production methods which are lower-upper face and sliced methods are modelled. In all three methods handled, minimum vertical stress is found on the face. But, LTCC from bottom face method is more advantageous than other methods according to the stress analysis results. Stress values on the face, in front of the face and rear face in this method lower than others. LTCC from bottom face method (current method in mine) is found to be preferable method compared to others.

II. STUDY FIELD

Omerler Underground Mine is in the inner Aegean District of Turkey near Tuncbilek–Tavşanlı, Kutahya area and belongs to Turkish Coal Enterprises (Figure 2). The total proven lignite reserve in the district is about 330 million tons. The average depth is approximately 240 m below surface. The thickness of the coal seam is 8m with a slope of 10o (Taskin, 1999; Destanoglu et al., 2000; Yasinli, 2002; Yasinli and Unver, 2005, Ozfirat, 2007).
A generalized lithologic column showing the coal seam together with roof and floor strata is given in Fig. 3. There are three main geological layers in the mine area which are claystone, clayey marl and marl (Destanoglu et al., 2000). Physical and mechanical characteristics of coal and surrounding rock are presented in Table 1 (Kose et al., 1994; Taskin, 1999, Destanoglu et al., 2000, Yasitli, 2002, Yasitli and Unver, 2005, Ozfirat, 2007).

Coal has been produced by means of longwall retreat with the top-coal-caving production method where a 3 m high longwall face was operated at the floor of the coal seam (Fig. 4). Top-slice coal having a thickness of 5 m was caved and produced through windows located at the top of the shields. Fig. 4 gives the plan (a) and the cross-sectional views (b) of the longwall. In addition roof support properties are given in Table 1 (Destanoglu et al, 2000; Simsir and Ozfirat, 2008).

Table 1. Properties of Face Supports

<table>
<thead>
<tr>
<th>Type</th>
<th>ZYD 4000/18/32</th>
</tr>
</thead>
<tbody>
<tr>
<td>Support height Min, Max. (mm)</td>
<td>1800-3200</td>
</tr>
<tr>
<td>Support width (mm)</td>
<td>1500</td>
</tr>
<tr>
<td>Strength of working (kN)</td>
<td>3200</td>
</tr>
<tr>
<td>Yielding Load (30 MPa; Pump pressure, kN)</td>
<td>2804</td>
</tr>
<tr>
<td>Support density (kN/m²)</td>
<td>865</td>
</tr>
<tr>
<td>Window dimension (length x width, mm)</td>
<td>1750 x 800</td>
</tr>
<tr>
<td>Mass (kg)</td>
<td>16200</td>
</tr>
</tbody>
</table>

Material properties should be evaluated carefully in order to find satisfactory results in modeling with PHASE2D. That’s why, physical and mechanical properties of each stratum should be studied in detail. Generally, first laboratory experiments are carried out to find intact rock properties. However, there is an important difference between rock material and rock mass properties. Therefore, it is important to use rock mass properties instead of rock material properties in numerical modelling. Physical and mechanical properties of intact rock material are given in Table 2 (Destanoglu, 2000; Yasitli, 2002; Yasitli and Unver, 2005; Kose et al, 1994; Ozfirat, 2007).

Table 2. Physical and mechanical properties of intact coal and surrounding rocks

<table>
<thead>
<tr>
<th>Properties</th>
<th>Calcarenous Marl</th>
<th>Marl</th>
<th>Roof Claystone</th>
<th>Floor Claystone</th>
<th>Soft Claystone</th>
<th>Coal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unit weight (γ) (MN/m³)</td>
<td>0.023</td>
<td>0.022</td>
<td>0.021</td>
<td>0.024</td>
<td>0.023</td>
<td>0.013</td>
</tr>
<tr>
<td>Uniaxial comp. strength (σc) (MPa)</td>
<td>29.2</td>
<td>16.1</td>
<td>12.00</td>
<td>24.50</td>
<td>11.52</td>
<td>12.15</td>
</tr>
<tr>
<td>Young’s modulus (Ei) (MPa)</td>
<td>5520</td>
<td>2530</td>
<td>2785</td>
<td>3204</td>
<td>1669</td>
<td>1748</td>
</tr>
<tr>
<td>Poisson’s ratio (ν)</td>
<td>0.26</td>
<td>0.25</td>
<td>0.30</td>
<td>0.30</td>
<td>0.33</td>
<td>0.33</td>
</tr>
<tr>
<td>Cohesion (c) (MPa)</td>
<td>0.997</td>
<td>0.481</td>
<td>0.213</td>
<td>0.567</td>
<td>0.253</td>
<td>0.183</td>
</tr>
<tr>
<td>Internal friction angle (°)</td>
<td>23</td>
<td>20</td>
<td>14</td>
<td>16</td>
<td>16</td>
<td>11</td>
</tr>
</tbody>
</table>

After determining physical and mechanical properties of intact rock, properties of rock mass are derived from these values using empirical relations from the literature. Some of the mostly used equations belong to Hoek and Brown (1997) failure criterion, Bieniawski’s in 1973 and 1989 RMR classification system, and Geological Strength Index (GSI) (Hoek, 1995;
Sonmez and Ulusay, 1999). Rock mass properties are given in Table 3 (Yasitli and Unver, 2005; RocData, 5.0; Kamk et al, 2015; Alendağ et al, 2015; Folorunso et al, 2015; Wang and Ni, 2014).

<table>
<thead>
<tr>
<th>Properties</th>
<th>Limestone Marl</th>
<th>Marl</th>
<th>Roof Claystone</th>
<th>Floor Claystone</th>
<th>Soft Claystone</th>
<th>Coal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Geotechnical Strength Index GSI</td>
<td>45</td>
<td>45</td>
<td>32</td>
<td>41</td>
<td>32</td>
<td>29</td>
</tr>
<tr>
<td>Hoek-Brown constant m</td>
<td>13</td>
<td>9</td>
<td>7</td>
<td>6</td>
<td>12</td>
<td>5</td>
</tr>
<tr>
<td>Failure Factor (D)</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
</tr>
<tr>
<td>Hoek-Brown constant mb</td>
<td>0.633</td>
<td>0.438</td>
<td>0.167</td>
<td>0.235</td>
<td>0.238</td>
<td>0.103</td>
</tr>
<tr>
<td>Young’s modulus Ern (MPa)</td>
<td>0.0003</td>
<td>0.0003</td>
<td>0.0001</td>
<td>0.0002</td>
<td>0.0001</td>
<td>0.00034</td>
</tr>
<tr>
<td>Hoek-Brown constant a</td>
<td>0.506</td>
<td>0.506</td>
<td>0.520</td>
<td>0.511</td>
<td>0.520</td>
<td>0.524</td>
</tr>
<tr>
<td>Failure Criteria</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Uniaxial comp. strength:σc (MPa)</td>
<td>0.509</td>
<td>0.281</td>
<td>0.079</td>
<td>0.311</td>
<td>0.069</td>
<td>0.055</td>
</tr>
<tr>
<td>Global strength:σcm (MPa)</td>
<td>3.000</td>
<td>1.377</td>
<td>0.639</td>
<td>1.503</td>
<td>0.670</td>
<td>0.445</td>
</tr>
<tr>
<td>Young’s modulus Ern (MPa)</td>
<td>431.99</td>
<td>197.99</td>
<td>108.76</td>
<td>197.48</td>
<td>65.18</td>
<td>60.49</td>
</tr>
</tbody>
</table>

### III. IN-SITU ROCK-MASS STRENGTH PROPERTIES

The first task in applying these models is to make an initial estimate of the range of potential strength and stiffness properties for the various major rock units present. This is done by assuming a failure criterion for the rock and by estimating the strength properties using the geotechnical characterization and available laboratory-testing data (Gönen and Köse, 2011; Malli et al, 2017).

#### A. Failure Criteria

The Hoek-Brown failure criterion is a commonly accepted method for estimating the relation of the principal stresses at failure for a rock mass. Hoek-Brown (1997) studied a relation failure conditions for rocks under stressing forces. They used trial and error methodology and found that the relation between the major principal stress and the minor principal stress is curve linear. The failure criterion relates the major principal stress (σ1) to the minor principal stress (σ3) at failure. The Equation (1) describing the criteria is given below.

\[
\sigma_1 = \sigma_3 + \sqrt{m_b \sigma_3 \sigma_c + s \sigma_c^2}
\]  
\[
m_b = m \exp \left(\frac{RMR-100}{128}\right)
\]  
\[
s = \exp \left(\frac{RMR-100}{9}\right)
\]

where is the Hoek-Brown constant for the particular rock type, and s depends on the characteristics of the rock mass. The value σc is the uniaxial compressive strength of the intact rock. The calculation of the mb, and s parameters is based on the degree of jointing and the alteration of joint surfaces reflected in the value of the RMR in Equation (2) and Equation (3).

### B. Goaf Materials

 Modeling of the gob area is another important step that affects the accuracy of the obtained results. It is rather difficult to model gob material by numerical analyses. Since gob is mainly made of broken rock pieces, its deformational properties are complex due to an ongoing consolidation process with an increase in the amount of load. Xie et al. (1999) suggested the Equation (4) for determination of the modulus of elasticity of gob material with respect to time.

\[
E = 15 + 175(1 - e^{-1.25t}) \text{ MPa where; } t: \text{ is the time}
\]  

Kose and Cebi (1988) suggested an interval of 15–3500 MPa for elasticity modulus value of gob material. On the other hand Yavuz and Fowell (2001) suggested a Poisson’s ratio of 0.495 for gob material of the Tuncbilek Region. These values were used in order to find properties of gob material throughout the analyses. In addition, Salamon (1990); Kvalep, (1992); Pappas and Mark (1993); Yavuz (2004) may be used to describe the stress–strain behavior of goaf material. Determination of the two parameters, Em and Eo; is essential to describe the complete stress–strain curve for a site-specific caved rock material. Em merely depends on the initial bulking factor, b; and it can be determined as given in Equation (5) and Equation (6).

\[
\frac{E_m}{E_o} = \frac{b^{-1}}{b}
\]  

\[
\sigma = \frac{E_o E_m}{1-\varepsilon/m}
\]

where σ: is the uniaxial stress applied to the material, E: the strain occurring under the applied stress, Eo: is the initial tangent modulus and Em: is the maximum possible strain of the bulked rock material.

### IV. NUMERICAL MODELS

LTCC method has many advantageous in production of thick coal seams. However due to production losses in caving and dilution other thick coal seam production methods should also be examined. During this examination mostly production characteristics are considered and rock mechanics characteristics are examined very little. In this study, for Omerler region, three different production methods are compared in the terms of rock mechanics using numerical models. Average thickness of the seam is 8 m. Bottom face, lower-upper face and three slice production are evaluated in terms of rock mass.

LTCC method has many advantageous in production of thick coal seams. However due to production losses in caving and dilution other thick coal seam production methods should also be examined (Yavuz, 2003; Yasitli and Unver, 2005; Xie and Zhao, 2009; Xie et al, 2009; Saeddi et al, 2010; Vakili and Heblewhtie, 2010; Yan et al, 2013; Wang et al, 2014). During this examination mostly is considered production methods of thick coal seam and rock mechanics.

#### A. LTCC from Bottom Face

In this method, 3 m of 8 m seams is produced by bottom face. The rest 5 m is produced by caving from back face. Vertical
stresses, vertical displacements and maximum shear strains are evaluated with numerical models in this method. When vertical stresses are considered in bottom face, the maximum value of stress is found to be 8.5 MPa. This value occurs in 3 m advance from the face. When the point after 3 m advance is considered, stress is found to be 7.5 MPa and then 6 MPa. It stays constant at 6 MPa. The stress just on top of the face is 1 MPa. The stress on the back face starts from 2.5 MPa and increases up to 3 MPa. Then it stays constant at 3 MPa (Figure 5-a). Vertical displacement can be seen in Figure 5-b. This value is between 17-23 cm in front face where stress is high. It is between 35-67 cm on the face and 29-65 cm at the caved zone. In Figure 5-c, zones where maximum shear strain appears can be seen. In front face where maximum vertical stress appears, the strain value is 0.014 MPa and it is 0.011 MPa and 0.007 MPa even further. The strain value changes between 0.007 MPa and 0.011 MPa on the face and it changes between 0.028 MPa and 0.048 MPa at the caved zone.

C. Multi-slice Longwall Method

In multi-slice longwall method, the vertical stress is found to be 2 MPa on the top face, 7 MPa in front of the top face and 5.5 MPa on the caving zone. When the middle slice is considered vertical stresses are found to be 1-5 MPa, 6.5 MPa and 6 MPa on the face, in front of face and on the caving zone respectively. Finally, in the lower face vertical stresses are 3 MPa, 6.5 MPa and 5.5 MPa on the face, in front of face and on the caving zone respectively (Figure 6-b).
The distance between the faces is 30 m and vertical stress does not increase in this region. Displacements in multi-slice longwall method are found 20 cm on the first face, 28 cm above the second face and 12 cm on the caving zone of above third face. Similarly vertical displacements in the middle face turned out to be 28 cm, 4 cm and 4 cm on the face in front of face and on the caving zone respectively. When lower face is considered, displacements are 32 cm on the face, 16 cm in front of face and 4 cm on the caving zone. Maximum displacement is observed as 25-28 cm on the lowest region of lower face (Figure 7-b).

Maximum shear strains can be seen in Figure 7-c. The shear stress on the top of face is 0.008 MPa, 0.016 MPa in front of the top of face and 0.044 MPa on the caving zone. When middle slice is considered, shear stresses are 0.008 MPa, 0.012 MPa and 0.020 MPa on the face. In front of face and on the caving zone respectively. In the lower face, shear stress values are found to be 0.012 MPa and 0.024 MPa respectively. The maximum shear stress values are observed in front of the lower face as 0.008 MPa.

V. RESULTS AND DISCUSSION

In thick coal seams, lower face, lower-upper face and sliced methods are the mostly used production methods. In this study, data obtained from field studies and laboratory experiments belonging to all three methods are considered and modelled using Phase2D. The results achieved for all three methods support plastic cover layer theory defined in Jacobi 1976, Peng & Chiang 1989 and Hudson 1993. Maximum vertical strain measured on the coal seam located in front of the longwall is 9.5 MPa (Figure 8). In this case, strain values in front of face exceed gravity pressure (6 MPa) by 25%. This value decreases to 16.67% (1 MPa) inside the face.

Strain distribution occurring in lower-upper face method is basically coherent with lower face method. Maximum vertical strain on the coal seam in front of top face and bottom face are computed to be 7.70 MPa and 7.15 MPa, respectively. Strain forces effective on gob area behind the upper face increase gradually as the gob advances and reaches up to gravitational strain value at about 20 m behind the face (Figure 8b). On the other hand, in lower face method, these forces reach up to gravitational strain value at 150 m behind the face (Figure 8a). This difference is to the additional strain forces caused by lower face.

In multi slice method, maximum vertical strain measured on the top longwall, middle longwall and bottom longwall are 7 MPa, 6.5 MPa and 6 MPa respectively (Figure 8c). Strain values decrease gradually from top to bottom. According to these measures, strain decreases by 7.14% from the first slice to the second slice. In addition, strain decreases by 14.29% from the first slice to the third slice. This is because, 2nd and 3rd slice are on the zone of relaxation of the first slice.

VI. CONCLUSION

Numerical modeling is an important and useful method in order to determine roof support dimensions. It is also beneficial in order to see work safety of production methods, to examine whether, the roof supports are sufficient and to be more careful in points with maximum stress values.

Production methods used in thick coal seams are analyzed according to strain forces using Phase2D modelling. Similar strain values are computed in front of the face in all three methods considered. However, when strain values on the gob area are measured, strain distribution in lower face method is found to be more stabilized and proper.

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