A Novel Supervised Machine Learning Algorithm for Intrusion Detection: K-Prototype+ID3

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Abstract— Data mining methods make it probable to look for large amounts of information for characteristic rules and patterns. They can be used to detect intrusions, attacks and/or anomalies when applied to network monitoring data recorded on a host or in a network. In this paper, we introduced a novel machine learning algorithm “K-Prototype + ID3” which is used to classify normal and anomalous activities in a computer network. First we apply “k-prototype clustering algorithm” which is a partition based clustering algorithm that works well for data with mixed numeric and categorical features for classifying anomalous and normal activities in a computer network. The k-prototype method first partitions the training instances into k-clusters using dissimilarity measurement. On each cluster representing a density region of normal or anomaly instances we construct an “ID3 decision tree”. The decision tree on every cluster filters the decision boundaries by learning the subgroups within the cluster. At last, to get final decision on classification, the results of K-Prototype and ID3 methods are combined using two phases namely Candidate Selection phase and Candidate Combination phase on the test instance to predict normality or anomalous. We perform experiments on Network Anomaly data (NAD) data set. Results show that K-Prototype+ID3 have high classification accuracy of 96.84 percent on NAD compared to individual K-Means, ID3 and K-Means+ID3.

Keywords— Data mining, Classification, K-Means clustering, K-Prototype, Decision trees, Intrusion Detection.

I. INTRODUCTION

Intrusion detection systems aim at detecting attacks against computer systems and networks, or against information systems in general, as it is difficult to provide provably secure information systems and maintain them in such a secure state for their entire lifetime and for every utilization. Therefore, the task of intrusion detection systems is to monitor the usage of such systems and to detect the apparition of insecure states. Intrusion detection technology [1] is an important component of information security technology and an important supplement to traditional computer security mechanisms.

Intrusion detection can be categorized into two types: one is anomaly detection. It firstly stores users normal behavior into feature database, then compares characters of current behavior with characters of feature database. If the deviation is large enough, we can say that the current behavior is anomaly or intrusion. Although having a low false negative rate and high false alarm rate, it can detect unknown types of attacks. The other is misuse detection. It establishes a feature library according to the known attacks, and then matches the happened behaviors to detect attacks. It can only detect known types of attacks, but is unable to detect new types of attacks. Therefore misuse detection has a low false alarm rate and a high false negative rate.

There are many methods applied into intrusion detection [6], such as methods based on statistics, methods based on data mining, methods based on machine learning and so on. In recent years, data mining technology is developing rapidly and increasingly mature and now it is gradually applied to Intrusion Detection field. Clustering is a data mining technique where data points are clustered together based on their feature values and a similarity metric. Clustering algorithms are generally categorized under two different categories- partitional and hierarchical. Partitional clustering algorithms divide the data set into non-overlapping groups [8, 9]. Algorithms k-mean, k-modes, etc. fall under this category. Hierarchical algorithms use the distance matrix as input and create a hierarchical set of clusters. Hierarchical clusters are may be agglomerative or divisive, each of which has different ways of determining cluster membership and representation. Bloedorn [2] use k-means approach for network intrusion detection. There is a disadvantage in using k-means approach because it works only for numeric attributes. In this paper, we introduced a new algorithm “K-Prototype” which works for mixed data namely numeric and categorical which gives a broad scope to work with wide range of data sets.

1.1 Contribution of the Paper

The contribution of the paper is enumerated as follows:

- The paper presents a K-means based algorithm “K-Prototype” which works well for data sets of mixed attributes namely numeric and categorical.
- The paper presents a K-Prototype + ID3 algorithm for classifying the data as normal or anomaly using Nearest Neighbor rule and Nearest Consensus rule.
- The paper evaluates the performance of K-Prototype+ID3 clustering algorithm for anomaly
where \( \Omega \) represents an n-dimensional continuous valued vector and \( Y_i \) represents the corresponding class label with “0” for normal and “1” for intrusion. The k-prototype algorithm has the following steps:

For each test instance Z:
- Compute the distance \( D(C_r, Z) \), \( r = 1, 2, \ldots, k \). Find cluster \( C_r \) that is closest to Z.
- Classify Z as an intrusion or a normal instance using either the Threshold rule or the Bayes Decision rule. The Threshold rule for classifying a test instance Z that belongs to cluster \( C_r \):
  - Assign \( Z \rightarrow 1 \) if \( P(\omega_{1r} | Z \in C_r) > \tau \)
  - Otherwise \( Z \rightarrow 0 \)
Where “0” and “1” represent normal intrusion classes in cluster \( C_r \). \( P(\omega_{1r} | Z \in C_r) \) represents the probability of anomaly instances in cluster \( C_r \), and \( \tau \) is predefined threshold. A test instance is classified as an anomaly only if it belongs to a cluster that has anomaly instances in majority.

The Bayes Decision rule is
Assign \( Z \rightarrow 1 \) if \( P(\omega_{1r} | Z \in C_r) > P(\omega_{0r} | Z \in C_r) \)
Otherwise \( Z \rightarrow 0 \),
where \( \omega_{0r} \) represents the normal class in cluster \( C_r \) and \( P(\omega_{0r} | Z \in C_r) \) is the probability of normal instances in cluster \( C_r \).

In our experiments we use Bayes Decision rule for classifying the given test instance as normal or intrusion activity.

2.3 Intrusion Detection with ID3 decision trees

We compute the information gain IG on each attribute \( T \) of ID3 decision tree algorithm as follows:
\[ IG(P, T) = \text{Entropy}(P) - \sum_{i \in \text{values}(T)} \frac{\text{Mod}(P_i)}{\text{Mod}(P)} \times \text{Entropy}(P_i) \]

Where \( P \) is the total input space and \( P_i \) is the subset of \( P \) for which attribute \( T \) has a value \( i \). The \( \text{Entropy}(P) \) over \( n \) classes is given by

\[ \text{Entropy}(P) = \sum_{j=1}^{x} -p_j \log(p_j) \]

where \( p_j \) represents the probability of class “\( j \)”. The probability of class \( j \) is calculated as follows:

\[ p_j = \frac{N_j}{\sum_{k=1}^{x} N_k} \]

Where \( N_k \) is the number of training instances in class \( x \).

The attribute with the maximum information gain, say \( L \), is chosen as the first node i.e., root of the tree. Next, a new decision tree is recursively constructed over each value of \( L \) using the training subspace \( P - \{P_L\} \). A leaf-node or a decision node is formed when all the instances within the available training subspace are from the same class. For detecting intrusions, the ID3 decision tree outputs binary classification decision of “0” to indicate normal activity and “1” indicates intrusion to test instances.

III. INTRUSION DETECTION USING K-PROTOTYPE + ID3 METHOD

We start our work with two data sets. One is training data set and the other is testing data set. We apply K-Prototype + ID3 algorithm first on training data set. During training, first using K-Prototype we divide the given training instances in to \( x \) disjoint clusters \( C_1, C_2, ... C_x \). After dividing training instances into “\( x \)” clusters, we apply ID3 decision tree on training instances of each cluster. It there are any overlaps among the instances in the clusters, the overlapped clusters is trained with the ID3 which refines the boundary decisions by partitioning the instances with the set of if-then rules over the feature space. During Testing, the algorithm has two steps namely candidate selection phase and candidate combination phase. In candidate selection phase we extract the individual decisions of K-Prototype and ID3. In candidate combination phase, we combine the decisions of K-Prototype and ID3 to get the final decision of class membership which is assigned to a test instance. For combining the decisions of K-Prototype and ID3, we follow two joining rules: i) Nearest Neighbor rule and ii) Nearest Consensus rule. A complete review of two phases is given below.

3.1 The Candidate Selection Phase

Let \( C_1, C_2, ... C_x \) be the clusters formed after applying K-Prototype method on training instances. Let \( o_1, o_2, ... o_x \) be the centroids of clusters \( C_1, C_2, ... C_x \) respectively. Let \( D_1, D_2, ... D_x \) be the ID3 decision trees on clusters \( C_1, C_2, ... C_x \). Let \( T_i \) be the test instance, this phase extracts anomaly scores for \( z \) candidate clusters \( R_1, R_2, ... R_z \). The “\( z \) candidate clusters” are \( z \) clusters in \( C_1, C_2, ... C_x \) that are closer to \( T_i \) in terms of Euclidean distance between \( T_i \) and the cluster centroids. Here, \( z \) is a user defined parameter.

Let \( w_1, w_2, ..., w_z \) represent centroids of candidate clusters \( R_1, R_2, ... R_z \). Let \( ED(T_i, w_j) = d_j \), \( ED(T_i, w_1) = d_1 \) and \( ED(T_i, w_z) = d_z \), represent the Euclidean distances between the test instance \( T_i \) and the \( z \) candidate clusters. The K-Prototype anomaly scores \( A_s, s = 1, ..., z \), for each of the \( z \) candidate clusters is given by

\[ A_s = P(o_{1,s}) \times \left[ 1 - \frac{d_s}{\sum_{l=1}^{z} d(T_i, r_l)} \right] \]

Where \( P(o_{1,s}) \) is the probability of anomaly instances in cluster “\( s \)”. In the above equation the term

\[ \left[ 1 - \frac{d_s}{\sum_{l=1}^{z} d(T_i, r_l)} \right] \]

is called the Scaling Factor (SF). The decisions from the ID3 decision trees associated with the \( z \) candidate clusters are either “0” for normal activity or “1” for anomaly activity. The candidate selection phase outputs an anomaly score matrix with the decisions extracted from the K-Prototype and ID3 anomaly detection methods for a given test vector. The decisions stored in the anomaly score matrix are combined with the candidate combination phase to yield a final decision on the test vector.

3.2 The Candidate Combination Phase

Anomaly score matrix which contains anomaly scores of the K-Prototype and the decisions of ID3 over \( z \) candidate clusters. This anomaly score matrix is the input for Candidate Combination Phase. To combine the decisions of K-Prototype and ID3 algorithms, we use the following two rules. They are: 1) Nearest Consensus rule 2) Nearest Neighbor rule.

\[
\begin{array}{c|c|c|c|c|c}
\hline
& R_1 & R_2 & R_3 & \ldots & R_z \\
\hline
\text{K-Prototype} & 1 & 1 & 0 & \ldots & 1 \\
\text{ID3} & 0 & 1 & 0 & \ldots & 0 \\
\hline
\end{array}
\]

Consensus

\[
\text{Fig 1. Anomaly score matrix for test vector } T.
\]

3.2.1 Nearest-Consensus Rule

Fig 1 is an example of an anomaly score matrix for the test vector \( T \). The candidate clusters \( R_1 ; R_2 ; \ldots ; R_z \) are structured in the anomaly score matrix such that the distances \( d_1 ; d_2 ; \ldots ; d_z \) between \( T \) and the candidate clusters \( R_1 ; R_2 ; \ldots ; R_z \), respectively, satisfy \( d_1 < d_2 < \ldots < d_z \). In the Nearest-consensus rule, we combine the decisions of K-Prototype and ID3 decision tree method and choose the anomaly score for the test vector \( T \). For eg., in Fig. 1, from the anomaly score matrix the combined decisions of K-Prototype and ID3 for candidate cluster R2 and finally the test vector T is classified as “1” i.e., an anomaly.
3.2.2 Nearest-neighbor Rule

The Nearest-neighbor rule gives the decision of ID3 of the nearest candidate cluster within the z candidate clusters. For the test vector T the nearest candidate cluster is R1. Therefore the decision of ID3 is assigned to test vector T as “0” (normal).

IV. EXPERIMENTAL DATA SET

In this section, we present in detail description of data set Network Anomaly Data (NAD). The NAD contains three sub data sets. They are 1) NAD 98 2) NAD 99 3) NAD 00, obtained by attribute extracting the 1998, 1999, and 2000 MIT-DARPA network traffic corpora [].

In our experiments, we taken at most 5000 training instances from NAD 98 & 99 sub data set with 70 percent of them being normal instances and remaining of them being anomaly instances and we taken 2500 unseen testing instances from NAD 98 & 99 (i.e., those that are not included in training data subsets), with 80 percent of them being normal instances and remaining 20 percent being anomaly instances. For NAD 2000 data set, we considered less number of instances i.e., 420 training instances and testing instances because of limited number of anomaly instances available in NAD 2000.

Table 1 shows the proportion of normal and anomaly instances and the number of dimensions in the three sub data sets of NAD data set.

<table>
<thead>
<tr>
<th>Datasets</th>
<th>Dimensions</th>
<th>Training instances</th>
<th>Testing instances</th>
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<td></td>
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<td>Anomaly</td>
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</tr>
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<td>D</td>
<td>2000</td>
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</tr>
</tbody>
</table>

Table 1 Characteristics of the NAD Data set used in intrusion detection experiments.

4.1 Network Anomaly Data:

Here we give brief description of each sub data set of NAD. The data set is extracted from MIT-DARPA network traffic, each data sub set contain artificial neural network-based nonlinear component analysis feature-extracted 1998, 1999, 2000. The NAD 1998 Data sets were gathered on an evaluation test bed simulating network traffic similar to that seen between an Air Force base (INSIDE network) and the Internet (OUTSIDE network). Nearly seven weeks of training data and two weeks of test data were composed by a sniffer deployed between the INSIDE and OUTSIDE network. From OUTSIDE network thirty-eight different attacks were launched. List files provide attack labels for the seven-week training data, but the list files associated with the test data doesn’t contain attack labels. So, we considered only seven week training data for both training and testing purposes. The NAD 1999 Data sets were generated on a test bed similar to that used for NAD 1998 Data sets. Twenty-nine additional attacks were identified. The data sets contain approximately three weeks of training data and two weeks of test data. In our experiments, we use the tcpdumps generated by the sniffer in the INSIDE network on weeks 1, 3, 4, and 5. The tcpdumps from week-2 were excluded because the list files related with data sets were not available. The NAD 2000 Data sets are attack-scenario specific data sets. The data sets contain three attack scenarios replicated with the background traffic being similar to those in NAD 1999 data sets. The first data set, LLS DDOS 1.0, replicates a 3.5 hour attack scenario in which a trainee attacker starts a Distributed Denial of Service (DDOS) attack against a raw adversary. The second data set, LLS DDOS 2.0.2, is a two hour furtive DDOS attack scenario. The third data set, Windows NT attack, is a nine hour data set enclosed five phased Denial of Service (DoS) attack on Windows NT hosts.

V. EXPERIMENTAL RESULTS

In this section, we discuss the results of the K-Prototype+ID3 method and compare it with the individual k-Means, ID3 and k-Means +ID3 decision tree methods over the NAD data set. We use four different measures for comparing the performance of K-Prototype+ID3 over k-Means, ID3 and k-Means +ID3 methods:

1. “total accuracy” or “accuracy” is the percentage of all normal and anomaly instances that are correctly classified.
2. “precision” is the percentage of correctly detected anomaly instances over all the detected anomaly instances.
3. TPR or recall is the percentage of anomaly instances correctly detected.
4. FPR is the percentage of normal instances incorrectly classified as anomaly.

5.1 Results on the NAD-1998 Data Set

Here, we present the outcome of the k-Means, ID3 decision tree, k-means+ID3-based anomaly detection methods and the K-Prototype+ID3 method over the NAD-1998 data sets.

Fig. 2 demonstrates the performance of the k-Means, the ID3, the K-Means+ID3 methods, and K-Prototype+ID3 averaged over 12 trials for k-means, K-Prototype, K-means+ID3, and K-Prototype+ID3. For the NAD-1998 data sets, the k value of the k-Means & K-Prototype method was set to 20. For the ID3, the training space was discretized into 45 equal-width intervals. For the K-Prototype+ID3 cascading method the k was set to 20 and the data was discretized into 45 equal-width intervals. The choice of k value used in our experiments was based on 10 trial experiments conducted with k set to 5, 10, 12, 15, and 20. The performance of the k-Prototype anomaly detection did not show any major enhancement when k value was set to a value greater than 20. In the same way, the selection of the number of equal-width intervals for discretization was based on 19 experiments conducted with different discretization values (e.g. 10, 15, ..., 100). Fig. 4 shows that the K-Prototype+ID3 cascading method based on Nearest-neighbor (NN) combination rules has better performance than the k-means, ID3, k-means+ID3...
in terms of TPR, FPR, Precision and Accuracy.

5.2 Results on the NAD-1999 Data Set

Fig. 3 demonstrates the performance of the k-Means, the ID3, the K-Means+ID3, and K-Prototype+ID3 methods averaged over 12 trials for k-Prototype and K-Prototype+ID3. The k value of individual k-Prototype was set to 5 for the NAD 1999 Data sets. For the ID3 algorithm, the training space was discretized into 25 equal- width intervals. For the K-Prototype+ID3 cascading, the value of k was set to 5 and the data was discretized into 25 equal- width intervals. Fig. 3 shows that the K-Prototype+ID3 cascading method based on Nearest-neighbor (NN) combination rules has better performance than the k-means, ID3, k-means+ID3 in terms of TPR, FPR, Precision and Accuracy.

5.3 Results on the NAD-2000 Data Set

Fig. 4 demonstrates the performance of the k-Means, the ID3, the K-Means+ID3, and K-Prototype+ID3 methods averaged over 12 trials for k-Prototype and K-Prototype+ID3. The k value of individual k-Prototype was set to 10 for the NAD 2000 Data sets. For the ID3 algorithm, the training space was discretized into 15 equal- width intervals. For the K-Prototype+ID3 cascading, the value of k was set to 10 and the data was discretized into 15 equal-width intervals. Fig. 4 shows that the K-Prototype+ID3 cascading method based on Nearest-neighbor (NN) combination rules has better performance than the k-means, ID3, k-means+ID3 in terms of TPR, FPR, Precision and Accuracy.

VI. CONCLUSION AND FUTURE WORK

In this paper, we presented the K-Prototype+ID3 pattern recognition method for intrusion detection. The K-Prototype+ID3 method is based on cascading two well-known machine learning methods: 1) the k-Prototype and 2) the ID3 decision trees. The k-Prototype method is first applied to partition the training instances into k disjoint clusters. The ID3 decision tree built on each cluster learns the subgroups within the cluster and partitions the decision space into finer classification regions; thereby enhancing the overall classification performance. We compare our cascading method with the individual k-Means, ID3, K-Mean+ID3 methods in terms of the overall classification performance defined over four different performance measures. Results on the NAD 98, NAD 99, and NAD 2000 data sets show that K-Prototype+ID3 is better when compared to individual k-means, ID3, and K-Means+ID3 method. Another major benefit is that the proposed algorithm works well both for categorical and numerical attributes where K-means+ID3 doesn’t work for categorical attributes. As we know that K-Prototype is better when compared to k-Means algorithm in terms of classification performance.

Future directions in this research include: 1) developing theoretical error bounds for the K-Prototype+ID3 method, and 2) comparing the performance of K-Prototype+ID3 with cascading classifiers developed using different clustering methods like hierarchical clustering, adaptive resonance (ART) neural networks, and Kohonen’s self-organizing maps and decision trees like C4.5 and Classification and Regression Trees (CART).
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